SEC Form 4

 \square

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to
Section 16. Form 4 or Form 5
obligations may continue. See
Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

	ROVAL
OMB Number:	3235-0287
Estimated average bu	ırden
hours per response:	0.5

				or Section 30(n) of the investment Company Act of 1940					
1. Name and Address of Reporting Person*				2. Issuer Name and Ticker or Trading Symbol <u>TRANSACT TECHNOLOGIES INC</u> [TACT	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
DILLON JOHN					X	Director	10% Owner		
(Last)		(First)	(Middle)			Officer (give title below)	Other (specify below)		
500 SAMNSONE ST				3. Date of Earliest Transaction (Month/Day/Year)					
C/O PLUMTREE SOFTWARE INC			RE INC	02/27/2020					
(Street	.)			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line)	vidual or Joint/Group Filii	ng (Check Applicable		
SAN		CA	94111		X	Form filed by One Re	porting Person		
FRA	FRANCISCO CA 54111		54111	_		Form filed by More the Person	an One Reporting		
(City)		(State)	(Zip)						

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code (8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)		5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1150.4)
Common Stock	02/27/2020		A		1,200	A	\$0 ⁽¹⁾	30,950	D	
Common Stock	03/01/2020		Α		900	A	\$0 ⁽²⁾	31,850	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)			tive ties red (A)	6. Date Exercisable and 7. Title and Expiration Date Amount of (Month/Day/Year) Securities Underlying		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially	10. Ownership Form: Direct (D)	11. Nature of Indirect Beneficial Ownership		
	Derivative Security					or Dis of (D) 3, 4 an	(Instr.		Derivative Security (Instr. 3 and 4)				Owned Following Reported Transaction(s)	or Indirect (I) (Instr. 4)	(Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		(Instr. 4)		
Restricted Stock Units	(3)	02/27/2020		A		4,600		(4)	(4)	Common Stock	4,600	\$0	4,600	D	
Restricted Stock Units	(1)	02/27/2020		м			1,200	(1)	(1)	Common Stock	1,200	\$0 ⁽¹⁾	3,600	D	
Restricted Stock Units	(2)	03/01/2020		м			900	(2)	(2)	Common Stock	900	\$0 ⁽²⁾	1,800	D	

Explanation of Responses:

1. Shares of Restricted Stock Units issued on February 27, 2019 pursuant to the Company's 2014 Equity Incentive Plan, vesting 25% annually commencing on the first anniversary of the date of grant that have converted to common stock on a one-for-one basis.

2. Shares of Restricted Stock Units issued on March 1, 2108 pursuant to the Company's 2014 Equity Incentive Plan, vesting 25% annually commencing on the first anniversary of the date of grant that have converted to common stock on a one-for-one basis.

3. The Restricted Stock Units convert to common stock on a one-for-one basis.

4. The Restricted Stock Units were issued pursuant to the Company's 2014 Equity Incentive Plan. The Restricted Stock Units vest 25% per year on each anniversary of the date of grant.

Steven A. DeMartino,	
Attorney-in-fact	

** Signature of Reporting Person Date

03/02/2020

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.