SEC Form 3

FORM 3

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Ac<br><u>Walsh Ray</u>          | ddress of Report | 0     | 2. Date of Event<br>Requiring Statement<br>(Month/Day/Year)<br>02/27/2019 |                    | 3. Issuer Name and Ticker or Trading Symbol<br><u>TRANSACT TECHNOLOGIES INC</u> [ TACT ] |   |                                    |  |   |  |
|---|------------------|-------|---|--------------------|--|---|------------------------------------|--|---|--|
| (Last) (First) (Middle)<br>6 SANDPIPER ROAD |                  |       | _   |                    | 4. Relationship of Reporting Pers<br>(Check all applicable)<br>Director                  | 10% Owne  | er (Mo                             | 5. If Amendment, Date of Original Filed<br>(Month/Day/Year)    |   |  |
|   |                  |       | _   |                    | X Officer (give title<br>below)  | Other (specify below)   |                                    | 6. Individual or Joint/Group Filing (Check<br>Applicable Line) |   |  |
| (Street)                                    |                  |       |   |                    | SVP, Global Restaur  | ant Solutio   |                                    | ${f X}$ Form filed by One Reporting Person                     |   |  |
| ENFIELD CT 06082                            |                  |       |   |                    |  |   |                                    | Form filed by More than One<br>Reporting Person                |   |  |
| (City)                                      | (State)          | (Zip) | _   |                    |  |   |                                    |  |   |  |
|   |                  |       | Table I - Nor   | n-Derivat          | tive Securities Beneficial   | ly Owned  |                                    |  |   |  |
| 1. Title of Security (Instr. 4)             |                  |       |   |                    | 2. Amount of Securities<br>Beneficially Owned (Instr. 4)                                 | 3. Ownership<br>Form: Direct (D)<br>or Indirect (I)<br>(Instr. 5) |                                    | Beneficial Ownership   |   |  |
| Common Stock                                |                  |       |   |                    | 0  | D   |                                    |  |   |  |
|   |                  |       |   |                    | e Securities Beneficially<br>ants, options, convertible                                  |   | s)                                 |  |   |  |
| 1. Title of Derivative Security (Instr. 4)  |                  |       | 2. Date Exerce<br>Expiration Da<br>(Month/Day/)                           | ate                | 3. Title and Amount of Securities<br>Underlying Derivative Security (Instr. 4)           |   | 4.<br>Conversion<br>or Exercise    | Form:  | 6. Nature of Indirect<br>Beneficial Ownership<br>(Instr. 5) |  |
|   |                  |       | Date<br>Exercisable   | Expiration<br>Date | n Title  | Amount<br>or<br>Number<br>of<br>Shares                            | Price of<br>Derivative<br>Security | Direct (D)<br>or Indirect<br>(I) (Instr. 5)                    |   |  |
| Stock Option                                |                  |       | 10/29/2015 <sup>(1)</sup>   | 10/29/2024         | 4 Common Stock   | 1,500   | 5.7                                | D  |   |  |
| Stock Option                                |                  |       | 02/26/2016 <sup>(1)</sup>   | 02/26/2025         | 5 Common Stock   | 1,500   | 6.76                               | D  |   |  |
| Common Stock                                |                  |       | 02/25/2017 <sup>(1)</sup>   | 02/25/2020         | 6 Common Stock   | 750   | 7.17                               | D  |   |  |
| Stock Option                                |                  |       | 05/22/2018 <sup>(1)</sup>   | 05/22/2023         | 7 Common Stock   | 750   | 8.3                                | D  |   |  |
| Stock Option                                |                  |       | 03/01/2019 <sup>(1)</sup>   | 03/01/2028         | B Common Stock   | 3,000   | 13.65                              | D  |   |  |
| Stock Option                                |                  |       | 02/27/2020 <sup>(1)</sup>   | 02/27/2029         | 9 Common Stock   | 5,000   | 10.34                              | D  |   |  |

## Explanation of Responses:

1. Grant of non-qualified stock option under the Company's 2014 Equity Incentive Plan. The option becomes exercisable 25% per year on each anniversary date of the grant and expires 10 years after the grant date.

David B. Peters, Attorney-infact 03/06/2019

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.