FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

| ashington, | D.C. | 20549 | |
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| | | | |

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

RILEY BRYANT R

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL 3235-0287 Estimated average burden hours per response: 0.5

> > 7. Nature of Indirect Beneficial Ownership (Instr. 4)

Securities, Inc.(1)(2)(3)

11. Nature of Indirect Beneficial Ownership (Instr. 4)

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

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|---|--|--|-------------------------------|--|--|---------|--|----------------------------------|----------------|------|-------------------|---|---|--|---------------------|------------------------------|---|---------------------|---|---|
| Name and Address of Reporting Person* <u>B. Riley Financial, Inc.</u> | | | 1 | 2. Issuer Name and Ticker or Trading Symbol TRANSACT TECHNOLOGIES INC [TACT] | | | | | | | | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director X 10% Owner Officer (give title Other (specify | | | | | | | |
| (Last) 11100 SA SUITE 8 | ANTA MO | rist) NICA BLVD | (Middle) | | . Date 0 | | | ansacti | ion (M | onth | n/Day/Year | -) | | | | below | | e | Oth belo | |
| (Street) LOS ANGEL | ES C. | A | 90025 | 4 | 4. If Amendment, Date of Original Filed (Month/Day/Year) | | | | | | | 6. Individual or Joint/Group Filing (Check Applicable Line) Form filed by One Reporting Person X Form filed by More than One Reporting Person | | | | | | | | |
| (City) | (S | tate) | (Zip) | | | | | | | | | | | | | | | | | |
| | | Table | e I - Non-Deri | /ativ | e Sec | cur | ities A | cqui | red, l | Dis | posed | of, c | or E | Benefic | ially | Own | ed | | | |
| 1. Title of | 1. Title of Security (Instr. 3) 2. Transactio Date (Month/Day/\text{\text{Month/Day/\text{\text{N}}}} | | | Execution Date, | | n Date, | 3. Transaction Code (Instr. 8) | | Di | | | cquired (A) or (Instr. 3, 4 and A) or Price | | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) | | es ally Following d | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | |
| | | | | | | | | Code | | Ai | nount | (D) | 4 | Price | - (| (Instr. 3 | and 4) | ┝ | | Dr. D |
| Common | Stock | | 10/31/20 |)22 | | | | P | | | 7,994 | A | | \$3.9468 | 3(4) | 1,07 | 4,345 | | Ι | By B. Riley Securities Inc. ⁽¹⁾⁽²⁾⁽³⁾ |
| | | Та | ıble II - Deriva (e.g., ı | | | | | | | | osed of | | | | | Owne | d | | | • |
| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, | 4. Tra | ansactio | on | 5. Number of Derivation Securities Acquires (A) or Dispose of (D) (Instr. 3 and 5) | oer 6. Ex ve (M es d | | xero | cisable and | i 7 A S U D S | 7. Title and Amount of Securities Underlying Derivative Security (Inst 3 and 4) | | 8. P Deri Sec | ivative surity str. 5) | 9. Number derivative Securities Securities Deneficial Owned Following Reported Transactio (Instr. 4) | e s ally g | 10. Owners Form: Direct (or Indir (I) (Insti | Benefic Owners ect (Instr. 4 |
| | | | | Co | de V | | (A) (I | | ate cercisa | ble | Expiratio Date | | itle | Amount or Number of Shares | | | | | | |
| | nd Address o <u>y Financ</u> | f Reporting Personial, <u>Inc.</u> | * | | | | | | | | | | | | | | | | | |
| (Last) 11100 SA SUITE 8 | | (First) NICA BLVD | (Middle) | | | | | | | | | | | | | | | | | |
| (Street) | GELES | CA | 90025 | | | | | | | | | | | | | | | | | |
| (City) | | (State) | (Zip) | | | | | | | | | | | | | | | | | |
| | nd Address o y <mark>Securit</mark> | f Reporting Person ies, <u>Inc.</u> | * | | | | | | | | | | | | | | | | | |
| (Last) 11100 SA SUITE 8 | | (First) NICA BLVD | (Middle) | | | | | | | | | | | | | | | | | |
| (Street) | GELES | CA | 90025 | | | | | | | | | | | | | | | | | |
| (City) | | (State) | (Zip) | | | | | | | | | | | | | | | | | |
| 1. Name a | nd Address o | f Reporting Person | * I | | | | | | | | | | | | | | | | | |

| (Last) | (First) | (Middle) | | | | | | | |
|-------------------------|---------|----------|--|--|--|--|--|--|--|
| 11100 SANTA MONICA BLVD | | | | | | | | | |
| SUITE 800 | | | | | | | | | |
| (Street) | | | | | | | | | |
| LOS ANGELES | CA | 90025 | | | | | | | |
| | | | | | | | | | |
| (City) | (State) | (Zip) | | | | | | | |

Explanation of Responses:

- 1. This Form 4 is being filed jointly by B. Riley Financial, Inc., a Delaware corporation ("BRF"), B. Riley Securities, Inc., a Delaware corporation ("BRS"), and Bryant R. Riley.
- 2. BRF is the parent company of BRS. As a result, BRF may be deemed to indirectly beneficially own the securities of TransAct Technologies, Inc. (the "Issuer") held of record by BRS. BRF expressly disclaims beneficial ownership of the securities of the Issuer reported herein held indirectly except to the extent of such Reporting Person's pecuniary interest therein.
- 3. Bryant R. Riley, as Chairman and Co-Chief Executive Officer of BRF, has voting power and dispositive power over the securities of the Issuer held of record by BRS. As a result, Bryant R. Riley may be deemed to indirectly beneficially own the securities of the Issuer held of record by BRS. Bryant R. Riley expressly disclaims beneficial ownership of the securities of the Issuer reported herein held indirectly except to the extent of his pecuniary interest therein.
- 4. The price reported in Column 4 is a weighted average price. These shares were purchased in multiple transactions at prices ranging from \$3.91 to \$4.00, inclusive. The Filing Persons reporting person undertakes to provide to the Commission, upon request, full information regarding the number of shares purchased at each separate price.

B. Riley Financial, Inc., by: /s/

Bryant R. Riley, Co-Chief 11/02/2022

Executive Officer

B. Riley Securities, Inc. by: /s/

Andrew Moore, Chief 11/02/2022

Executive Officer

<u>/s/ Bryant R. Riley</u> <u>11/02/2022</u>

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.